# **PCT Glossary**

It is hoped that this Glossary will be a useful tool for Offices and applicants in understanding the PCT and drafting or translating PCT documentation. References to "Articles" are to those of the PCT, to "Rules" are to those of the Regulations under the PCT, to "Sections" are to those of the Administrative Instructions under the PCT. For complete information, consultation of the official texts, particularly the PCT itself, the Regulations under the PCT and the Administrative Instructions under the PCT, is indispensable. In case of any inconsistency with this Glossary, it is those texts which apply. All terms are defined or explained in the context of the PCT.

#### A|C|D|E|F|H|I|M|N|O|P|R|S|T|U|W

#### <u>A</u>

TERM	DEFINITION	SELECTED REFERENCES
Abstract	The part of the international application that consists of a concise summary of the disclosure as contained in the description, the claims and any drawings. It provides technical information only and cannot be taken into account for determining the scope of protection sought.	Articles 3(2) and (3), 14(1)(a)(iv) Rules 8, 38
Additional search and/or preliminary examination fee	The International Searching Authority or International Preliminary Examining Authority may invite the applicant to pay such fees when it finds that the international application lacks unity of invention. These fees may be paid under protest.	Articles 17(3)(a) and (b), 34(3) Rules 13, 40.1, 40.2, 43.7, 68.3 Sections 403, 502, 603
Administrative Instructions under the PCT [HTML]	Provisions setting out practical guidance to Offices and applicants concerning the application of the Regulations.	<u>Rule 89</u>
Agent	A person who has the right to practice before a national Office or PCT Authority and who may be appointed to act on behalf of an applicant for an international application.	Articles 27(7), 49 Rules 2, 4.7, 90
Amendment	Modifications to certain parts of the international application (description, claims and/or drawings) submitted by the applicant during the international and national phase of the PCT procedure. During the international phase, amendments should not go beyond the original disclosure, that is, they may not add new subject matter. Amendments may go beyond original disclosure if the national law of an elected State so permits. Claims may be amended upon receipt of the	Articles 19, 28, 34(2)(b), 41 Rules 46, 52, 66, 78 Sections 417, 602

1	international search report. Description, claims and drawings	I I
1	may be further amended during the international preliminary	I I
	examination procedure and/or in the national phase.	! !
-		I
Annexes to the	Sheets containing amendments to the claims, description	Article 36
International	and/or drawings, or the rectification of obvious errors that are	Rules 70.16, 74
Preliminary	attached as "Annexes" to the Report. The Annexes are	Section 602
Report on	communicated to the elected Offices by the International	 
Patentability	Bureau together with the International Preliminary Report on	! !
(Chapter II of the	Patentability (Chapter II) and must be translated by the	 
PCT)	applicant when entering the national phase.	
Applicant	Any natural person or legal entity indicated as such in a PCT	Article 9
	application. At least one applicant must be a national or	Rules 4.5, 18, 19
	resident of a PCT Contracting State. For the purposes of the	1
	United States of America, the applicant(s) must be the	! ! !
	inventor(s).	 
Application	Application for the protection of an invention. References to an	Article 2(i)
(international -)	application are construed as references to applications for	] 
	patents for inventions, inventors? certificates, utility	
	certificates, utility models, patents or certificates of addition,	! ! !
	inventors? certificates of addition and utility certificates of	 
- 	addition.	 

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<u>C</u>

TERM	DEFINITION	SELECTED
 		REFERENCES
Chapter I	The chapter of the PCT, that regulates, inter alia, the filing of	Articles 3-30
 	the international application, international search,	Rules 1.1(b), 47,
	establishment of the written opinion of the International	<u>87</u>
! !	Searching Authority, international publication of the	See also
! !	international application, and provides for the communication	International phase
	of the international application and related documents to	!
! !	designated Offices.	
Chapter II	The chapter of the PCT, that regulates, inter alia, the optional	Articles 31-42
	international preliminary examination procedure, and provides	See also
! !	for the communication of the international preliminary	International phase
! !	examination report and certain related documents to elected	1 
	Offices.	
Claims	The claims define the matter for which protection is sought.	Articles 3(2), 6, 11
1	They must be clear and concise and fully supported by the	Rules 6, 13.3, 13.4
: 	description.	: 

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I I	The international application must contain a part, which on the	I I
	face of it at least, appears to be a claim or claims.	
Committee for	A Committee established by the PCT Assembly for the	Article 56
Technical	purpose inter alia of contributing, by advice and	I I
Cooperation	recommendations, to the constant improvement of services	! ! !
[HTML]	provided for under the PCT, and to the securing of the	I I I
	maximum degree of uniformity in the documentation and	1 1
	working methods of the International Searching Authorities	
	and International Preliminary Examining Authorities and the	1 
1 1 1	maximum degree of uniformly high quality in their reports.	1 1 1
Common agent	An agent who represents all of the applicants in an	Rules 2.2, 90.1,
_	international application.	90.2(a)
		Section 108
 	1 1 1	See also <u>Agent</u>
Common	One of the applicants, who is entitled to file an international	Rules 2.2 <i>bis</i> , 90.2
representative	application (i.e., who is a resident or national of a PCT	Section 108
	Contracting State), and who is appointed by all the other	
	applicants to act on their behalf.	
Communication	The Communication by the International Bureau of the	Rule 93 <i>bis</i> .1(a)
on request	international application, any notifications, communications,	See also PCT
[HTML]	correspondence, or any other document relating to a	EDI and PCT COR
1	particular international application to any designated or	
	elected Office, effected only upon request and at the time	
	specified by the Office, however, not prior to international	
 	publication of the application.	 
Confidentiality	The international application and any documents related	Articles 21, 30, 38
	thereto are not published prior to the expiration of 18 months	Rules 44 <i>ter</i> , 94
-	from the priority date, unless the applicant requests early	
	publication of the international application. In addition, the	•
- -	receiving Office, the International Bureau, the International	- -
-	Searching Authority, the International Preliminary Examining	-
-	Authority, and the national Office, do not allow access to an	-
- -	international application and any documents related thereto by	- -
- -	third parties before its international publication unless	- -
	requested or authorized by the applicant.	•
Contracting State	A State which is party to the PCT.	Articles 1(1), 62

### $\underline{\texttt{A}|\texttt{C}|\texttt{D}|\texttt{E}|\texttt{F}|\texttt{H}|\texttt{I}|\underline{\texttt{M}}|\texttt{N}|\texttt{O}|\texttt{P}|\texttt{R}|\texttt{S}|\texttt{I}|\texttt{U}|\underline{\texttt{W}}}$

D

TERM	DEFINITION	SELECTED
		REFERENCES

Declaration of Inventorship	The declaration or oath of inventorship required by the national law for the national phase in the United States of America may be filed with the request, as provided for in Rule 4.17(iv). The declaration must contain standardized wording as prescribed by the Administrative Instructions under the PCT.	Rules 4.17(iv),  26ter,  51bis.1(a)(iv)  Section 214  See also Request  Form PCT/RO/101  and Notes to the  Request Form  [PDF]
Declaration	The request may, for the purposes of the national law applicable in designated States, contain up to five kinds of declarations, as provided for in Rule 4.17. The declaration must contain standardized wording as prescribed by the Administrative Instructions under the PCT. Declarations may also be furnished to the International Bureau within a time limit of 16 months from the priority date or at the latest, before completion of technical preparations for international publication.	Rules 4.17, 26ter.1, 51bis.1 Sections 211-215
Deemed common representative	Where neither a common agent nor a common representative has been appointed, the first named applicant in the request, who is entitled to file an international application with the receiving Office with which the international application was filed, is considered to be the "deemed" common representative for all applicants. The deemed common representative may represent all applicants in all matters except that he may not sign any notice of withdrawal.	Rules 2.2 <i>bis</i> , 90.2(b), 90 <i>bis</i> .5(a)
Defects	These are inconsistencies between the contents or form of a PCT application and the requirements set out in the Treaty and Regulations, for example, the requirements for the according of an international filing date (Article 11) or the formal and physical requirements of the international application (Article 14). The receiving Office will, in most cases, request the applicant to correct these defects within a specific time limit. Any defects in the demand are also brought to the attention of the applicant by the International Preliminary Examining Authority.	Articles 11, 14, 31(2)(a) Rules 20.6, 26, 28, 54, 60 Sections 316, 325, 327, 329, 413
Demand [PDF]	A request by the applicant for international preliminary examination to be carried out on the international application. It contains a petition to that effect and indications of applicants, agents and the international application to which it relates, as well as indications of elements to be considered for examination.	

. Dependent eleim	A claim that includes all the features of one or more other	Pulo 6.4
Dependent claim	I	Rule 6.4
1	claims and states the additional features claimed.	! !
Description	A mandatory part of the international application which	Articles 3(2), 5,
1	discloses the invention in a manner sufficiently clear and	<u>11(1)</u>
i I	complete for the invention to be carried out by a person skilled	Rule 5
1	in the art.	I I
Designated Office	A national office of or acting for a State designated in an	Article 2(xiii), 20
 	international application under Chapter I of the PCT.	 
Designated State	A Contracting State indicated in the international application in	Article 4.1(ii), 24
! !	which protection for the invention is sought.	Rule 4.9
Designation	An indication in an international application the effect of which	Article 4.1(ii), 24
! !	is that the filing of a request constitutes the designation of all	Rule 4.9(a)
!	States bound by the PCT at the international filing date for any	Sections 203, 318
	kind of available protection.	
Drawing	The part of an international application which illustrates the	Articles 3(2), 7
!	invention and which is required when it is necessary for the	Rule 7.1
! ! !	understanding of the invention.	1 

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### <u>E</u>

TERM	DEFINITION	SELECTED REFERENCES
Earlier Search	The results of an earlier international, international-type, or other search that can be used by the International Searching Authority to the extent possible for the establishment of the international search report. Applicants may be entitled to a reduction/reimbursement of the search fee to the extent that the International Searching Authority who carried out the later search benefits from that earlier search when establishing the international search report.	Rules 4.1(b)(ii), 4.11, 16.3, 41.1
Early national processing	The applicant may request any designated/elected Office to process or examine the international application at any time prior to the expiration of the applicable time limit for entry into the national phase. This is equivalent to a request for early national phase entry.	Articles 22, 23(2), 39, 40(2) Rules 47.4, 61.2(d)
Early Publication	Publication, on the request of the applicant, of the international application by the International Bureau before the expiration of 18 months from the priority date. It is subject to payment of a special publication fee to the International Bureau if, at the time of the request, the international search	Article 21(2)(b) Rule 48.4 Section 113(a)

ı	report is not yet available for publication	ı
! !	report is not yet available for publication.	! !
Elected Office	The national office of or acting for a State elected by the	Articles 2(xiv),
 	applicant under Chapter II, in which the applicant intends to	<u>31(7)</u>
1	use the results of the international preliminary examination.	Rules 53.7, 61.2,
		<u>61.3</u>
Election	The filing of the demand constitutes the election of all	Articles 31(4),
	Contracting States which are designated and are bound by	<u>64(1)</u>
	Chapter II and in which the applicant intends to use the results	Rule 53.7
	of the international preliminary examination.	I I I
Excuse of any	Where any time limit fixed in the Treaty or Regulations is not	Article 48
delay in meeting	met because of an interruption in the mail service or	Rules 49.6, 82,
certain time limits	unavoidable loss or delay in the mail, the time limit can be	<u>82<i>bis</i></u>
	deemed to be met in the cases and subject to the proof and	
	other conditions prescribed in the Regulations. Furthermore, a	-
	Contracting State must excuse any delay in meeting any time	-
	limit for reasons allowed for in its national law and may excuse	
	delays for other reasons. In addition, a designated Office can,	
	upon request by the applicant, reinstate the rights of the	-
	applicant with respect to the international application where	
	the effect of such international application has ceased	
	because the applicant failed to perform the acts to enter the	-
	national phase within the applicable time limit.	-

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<u>F</u>

TERM	DEFINITION	SELECTED REFERENCES
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Fees	The international application shall be subject to the payment	Article 3, 14, 17(3),
[HTML]	of the prescribed fees. See also additional search and/or	<u>31, 34, 57</u>
	preliminary examination fee, handling fee, international filing	Rules 12.3(e),
	fee, preliminary examination fee, prescribed currency, protest,	<u>13ter.1(c)</u> , <u>14</u> , <u>15</u> ,
	reduction of fees, schedule of fees, search fee, transmittal fee	16, 16bis, 27, 29,
	, 	40, 43.7, <u>57</u> , <u>58bis</u> ,
	1 1 1	<u>68.3, 70.13, 86.1,</u>
!	1 1 1	<u>96</u>
Fixed currency	The currency in which the search fee has been fixed by an	Rule 16.1(b)
	International Searching Authority.	

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TERM	DEFINITION	SELECTED
	1 1	REFERENCES
Handling fee	Fee for the benefit of the International Bureau and paid to the	Article 31(5)
1	International Preliminary Examining Authority when filing a	Rules 57, 96,
	demand.	Schedule of Fees
	1 1 1	See also Fees
Headquarter	The currency of the State in which an International Searching	Rule 16.1(b)
currency	Authority has its headquarters.	 
Home copy	The copy of the international application kept in the records of	Article 12(1)
	the receiving Office.	Rule 21.1

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<u>I</u>

TERM	DEFINITION	SELECTED REFERENCES
Industrial applicability	One of the criteria of patentability also referred to as "utility".  For the purposes of written opinions of the International  Searching Authority and international preliminary reports on patentability (Chapter II of the PCT), and/or international preliminary examination reports, a claimed invention is considered industrially applicable if it can be made or used in any kind of industry, understood in its broadest sense.	Articles 33(1), (4), 34(4)(a)(ii) Rules 5.1(a)(vi), 43bis.1(a)(i), 66.2(a)(i)
International application	An application for the protection of an invention filed under the PCT. An international application contains a request, a description, one or more claims, one or more drawings (where required) and an abstract.	Articles 2(vii), 3
International Authority	An organization that fulfills specific tasks, as prescribed by the PCT, including: the receiving Office, the International Searching Authority, the International Preliminary Examining Authority and the International Bureau.	Articles 10, 16, 32, 55 Administrative Instructions, Parts 3 to 6
International Bureau	This refers to the International Bureau of the World Intellectual Property Organization. In addition to its task as receiving Office for applicants from all Contracting States, it handles certain processing tasks in respect of all international applications filed with all receiving Offices worldwide.	Articles 2(xix), 55 Administrative Instructions, Part 4
International filing date	The date of receipt of the international application by the receiving Office, which is accorded when the international application complies with the requirements under <a href="Article 11">Article 11</a> .	Article 11(1) Rules 20.4 and 20.5 Sections 309, 310,

1 1 1	1 1 1	324
International filing fee	Fee for the benefit of the International Bureau and paid to the receiving Office when filing an international application.	Rules 15, 96, Schedule of Fees See also Fees
International Patent Cooperation Union	The Contracting States constitute a Union for the cooperation in the filing, searching, and examination of applications for the protection of inventions, and for rendering special technical services.	<u>Articles 1(1)</u> , <u>2(xvi)</u>
International phase [HTML]	A procedure consisting of four main steps: the filing of an international application and its processing by the receiving Office; the establishment of an international search report and a written opinion by the International Searching Authority; the international publication of the international application and related documents, as well as their communication to the designated and elected Offices; and an option of international preliminary examination, which concludes with the establishment of the international preliminary report on patentability (Chapter II of the PCT).	See also <u>PCT</u> Applicant's Guide
International preliminary examination	The objective of the international preliminary examination is to formulate a preliminary and non-binding opinion on whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), and to be industrially applicable.	Article 33
International preliminary examination report	A preliminary, non-binding opinion, established by the International Preliminary Examining Authority on the request of the applicant, on the questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), and to be industrially applicable. As of 1 January 2004, this report is also known as the "international preliminary report on patentability (Chapter II of the PCT)".	Articles 31, 32, 33, 35 Rule 70 See also Annexes to the international preliminary report on patentability (Chapter II of the PCT)
International Preliminary Examining Authority [HTML]	An Authority (either a national Office or an intergovernmental organization) [HTML] appointed by the PCT Assembly to carry out the international preliminary examination procedure. It's task is to establish the international preliminary report on patentability (Chapter II of the PCT).	Articles 16(3), 32 Rule 59
International preliminary report on patentability (Chapter I of the PCT)	A preliminary, non-binding report on whether the claimed invention appears to be patentable. It is issued by the International Bureau on behalf of the International Searching Authority under Chapter I of the PCT where an international preliminary examination report has not been or will not be	Rule 44 <i>bis</i>

1 1 1 1	established. The report has essentially the same contents as the written opinion of the International Searching Authority.	1 1 1 1
International preliminary report on patentability (Chapter II of the PCT)	A preliminary, non-binding opinion, established by the International Preliminary Examining Authority on the request of the applicant, on the questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), and to be industrially applicable. This report was previously called the "international preliminary examination report".	Articles 31, 32, 33, 35 Rule 70
International publication	The International Bureau publishes and thus discloses to the world the international applications promptly after the expiration of 18 months from the priority date. The published international application contains the full text as filed by the applicant, the international search report, and any amendments of the claims filed by the applicant under Article 19, as well as any declaration under Rule 4.17. If the international application is filed in Arabic, Chinese, English, French, German, Japanese, Russian or Spanish, the application is published in the language in which it was filed. Subject to certain conditions, the effects of the international publication of an international application shall be the same as those that the national law of a designated State provides for the national publication of unexamined national applications.	Articles 21, 29 Rule 48 See also PATENTSCOPE® Search Service
International search	The objective of the international search is to discover relevant prior art and to establish an international search report and a written opinion of the International Searching Authority, that carries out the search.	Articles 15, 18 Rules 33, 43, 43bis
International Search and Preliminary Examination Guidelines [PDF]	Guidelines to assist the International Searching Authorities and International Preliminary Examining Authorities performing international search and examination tasks and in the application of the relevant provisions of the Treaty, Regulations and Administrative Instructions. The written agreements between each International Authority and the International Bureau state that international search and international preliminary examination will be carried out in accordance with the Guidelines.	Articles 16(3)(b), 17, 32, 33, 34
International search report	Report established by the International Searching Authority containing citations of documents considered to be relevant for the claimed invention (relevant prior art), the classification of the subject matter of the invention and an indication of the fields searched as well as indications of any electronic data base searched. Furthermore, it may contain remarks concerning unity of invention.	Article 18 Rules 33, 43

International Searching Authority [HTML]	An Authority (either a national Office or an intergovernmental organization) [HTML] appointed by the PCT Assembly to carry out international searches. It establishes international search reports and the written opinion of the International Searching Authority.	Article 16
International-type search	A search similar to a PCT international search that is carried out for national applications filed with a national Office of a Contracting State, where the national law of that State so permits. The International Searching Authority that would be competent for the international search if the national application were an international application, carries out the international-type search.	Articles 15(5), 65(1) Rules 4.1(b)(ii), 4.11, 41.1
Inventive step	One of the criteria of patentability also called non-obviousness. For the purposes of written opinions of the International Searching Authority and international preliminary reports on patentability (Chapter II of the PCT), a claimed invention shall be considered to involve an inventive step (to be non-obvious) if, having regard to the prior art as defined in the Regulations, it is not, at the prescribed relevant date, obvious to a person skilled in the art.	Articles 33, 34(4)(a)(ii), Rules 33.1(a), 43 <i>bis</i> .1(a)(i), 65, 66.2(a)(i)

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#### M

TERM	DEFINITION	SELECTED
		REFERENCES
Main invention	The invention first mentioned in the claims where the	Articles 17(3)(a),
	international application includes more than one invention.	34(3)(c)
		Rules 43.7, 68.5
Minimum	The documents in which the International Searching Authority	Article 15(4)
documentation	must search for relevant prior art. It also applies to	Rule 34
1	International Preliminary Examining Authorities for	] 
	examination purposes. The documentation comprises certain	
i	published patent documents [PDF] and non-patent literature	, 
	[PDF] contained in a list published by the International	 
1	Bureau.	] 
Multiple	Any dependent claim which refers to more than one other	Rule 6.4
dependent claim	claim.	 

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TERM	DEFINITION	SELECTED
!		REFERENCES
National	An application for a national patent or a regional patent, other	Article 2(vi)
application	than an application filed under the PCT.	!
National Office	The authority of a Contracting State entrusted with the	Article 2(xii)
 	granting of patents; the term also refers to any	1 1
!	intergovernmental authority which several States have	!
! !	entrusted with the task of granting regional patents provided	! !
1 	that at least one of those States is a PCT Contracting State.	! !
National patent	A patent that is granted by a national authority.	Article 2(iii)
National phase	This follows the international phase of the PCT procedure,	Articles 22, 23,
[HTML]	and consists of the processing of the international application	<u>39(1)</u> , <u>40</u>
! ! !	before each designated/elected Office of or acting for a	! !
1	Contracting State in which the applicant seeks protection for	
	his invention.	
Non-obviousness	One of the criteria of patentability also called inventive step.	Articles 33,
!	For the purposes of written opinions of the International	34(4)(a)(ii)
1 1 1	Searching Authority and international preliminary reports on	Rules 33.1(a),
1	patentability (Chapter II of the PCT), a claimed invention shall	43 <i>bi</i> s.1, 65,
	be considered to be non-obvious (to involve an inventive step)	66.2(a)(i)
! !	; if, having regard to the prior art as defined in the Regulations,	! !
1 1 1	it is not, at the prescribed relevant date, obvious to a person	! !
 	skilled in the art.	 
Novelty	One of the criteria of patentability. For the purposes of written	Articles 33,
!	opinions of the International Searching Authority and	34(4)(a)(ii)
! !	international preliminary reports on patentability (Chapter II of	Rules 33.1(a),
! ! !	the PCT), a claimed invention is considered novel if it is not, at	43 <i>bis</i> .1(a)(i)-(ii),
 	the prescribed relevant date, anticipated by the prior art as	64, 66.2(a)(i)
!	defined in the Regulations.	! !

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### <u>O</u>

TERM	DEFINITION	SELECTED
	1	REFERENCES
Obvious errors	Errors due to the fact that something other than what was	Rule 91
	obviously intended was written in the international application	Sections 325, 413,
	or other document submitted by the applicant. Such errors can	<u>511, 607</u>
	be corrected if rectification is authorized by the competent	! !
	Authority.	1

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# <u>P</u>

TERM	DEFINITION	SELECTED REFERENCES
Patent	References to a "patent" shall be construed as references to patents for inventions, inventors' certificates, utility certificates, utility models, patents or certificates of addition, inventors' certificates of addition and utility certificates of addition.	Article 2(ii)
PCT Applicant's Guide [HTML]	A web-based resource prepared by the International Bureau containing detailed information on the PCT procedure. General information on the International Phase is supplemented by a number of Annexes containing related and more detailed information on Contracting States and Offices. This is followed by similar information on the National Phase which also contains National Chapters with more specific information relating to national phase entry and the national law of Contracting States.	
PCT Assembly [HTML]	References to the PCT Assembly, means the Assembly of the International Patent Cooperation Union which deals with all matters concerning the maintenance and development of the PCT Union and the implementation of the PCT. The Government of each Contracting State is represented in the Assembly.	Articles 2(xvii), 53
PCT-EASY (Electronic Application System) [HTML]	The portion of the PCT-SAFE software which assists applicants in preparing the request form for a PCT-EASY filing, in which the electronic data of the request form and the abstract are saved on a physical medium (diskette or CD) and a paper printout of the request form is prepared and filed together with the rest of the international application with a receiving Office that accepts such filings.	Rules 89bis, 89ter Sections 102bis, 335 Annex F of the Administrative Instructions
PCT-EDI (Electronic Data Interchange) [HTML]	A PCT service provided by the International Bureau that provides a flexible and secure mechanism for the exchange of patent documentation between industrial property offices and the International Bureau.	Rules 89bis, 89ter, 93bis Annex F of the Administrative Instructions
PCT Gazette	The weekly electronic publication of the International Bureau in English and French containing information relating to each published international application. It also includes information of a general character, the publication of which is required under the Treaty or the Regulations, information on Contracting States and intergovernmental organizations and fees payable.	Article 55(4) Rule 86 Section 407 Annex E of the Administrative Instructions See also PATENTSCOPE®

1 1 1	1 1 1 1	Search Service
I I I		and <u>Official Notices</u> ( <u>PCT Gazette</u> )
PCT Newsletter	A monthly publication by the International Bureau which informs users of the PCT about recent developments in the PCT system (available in English only).	
PCT Pamphlet	All published international applications contain a standardized front page, the description, claims, drawings (if any), any amendments to the claims under Article 19, and the international search report. The term "pamphlet" was used to describe the paper publication but this was discontinued from 1 April 2006, and is no longer referred to in the Regulations. As from that date, international applications are only published electronically.	See also  PATENTSCOPE®  Search Service
PCT-ROAD (Receiving Office Administration System) [HTML]	A software system for the management and processing by receiving Offices of international applications in both electronic and paper form.	
PCT-SAFE (Secure Applications Filed Electronically)	The International Bureau's software for the preparation of international applications in electronic form and the filing of international applications either via secure on-line transmission or using physical media such as CD-ROM or DVD.	Rule 89bis Annex F of the Administrative Instructions
PCT Union [HTML]	See International Patent Cooperation Union.	
Petition	A request that the international application be processed according to the PCT or to the effect that the international application be the subject of international preliminary examination according to the PCT.	Articles 4(1)(i) Rules 4.1(a)(i), 4.2, 53.2(a)(i), 53.3
Physical requirements	Rule 11 lists the physical requirements that an international application on paper must comply with, such as the number of copies, the size of sheets, margins, numbering of pages and lines, drawings, etc., which ensure a reasonably uniform international publication of the international application.	Articles 3(4)(ii), 14.1(a)(v) Rules 11, 26.5
Power of attorney [HTML]	A written document appointing an agent. The agent may be appointed in a separate power of attorney or in a general power of attorney.	Rules 90.4, 90.5 See also Waivers: Power of attorney
Preliminary examination fee	The fee that the applicant is required to pay directly to the International Preliminary Examining Authority for filing a demand for international preliminary examination.	Article 31.5 Rule 58 See also Additional

Prescribed currency Prior art	The currency or currencies prescribed by the receiving Office for the payment of the international filing fee.  Everything which has been made available to the public before the relevant date anywhere in the world by means of written disclosure and which can be of assistance in determining whether the claimed invention is new and involves an inventive step (i.e. is not obvious) for the purposes	search and/or preliminary examination fee and Fees Rule 15.2(b)-(d)  Articles 15, 64(4) Rules 33, 43bis.1, 64, 65, 70.9, 70.10
	of international search and international preliminary examination.	
Priority claim	A declaration in the international application claiming the priority of one or more earlier filed applications under the Paris Convention for the Protection of Industrial Property, or filed in or for any Member of the World Trade Organization. The conditions for, and the effect of, any priority claim declared in an international application are as provided for in <a href="Article 4">Article 4</a> of the Paris Convention for the Protection of Industrial Property.	Articles 8, 64(4)(a) Rules 4.1(b)(i), 4.10 Sections 302, 314, 402, 409
Priority date	For the computation of time limits under the PCT, priority date means the filing date of the earliest application of which priority is claimed, and where no priority is claimed, the international filing date.	Article 2(xi)
Priority document	A copy of the earlier application of which the priority is claimed, certified by the authority with which it was filed.	Rule 17
Protest	Any additional search fees or additional preliminary examination fees requested by an International Authority may be paid under protest, that is, accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fees is excessive.	Rules 40.2(c), 68.3(c)
Provisional protection	The effects, in a designated State, of the international publication of an international application with respect to the protection of any rights of the applicant are the same as those which the national law of the designated State provides for the compulsory national publication of unexamined national applications as such. Contracting States may make such provisional protection conditional on: i) the furnishing of translations of the international application; ii) the expiration of 18 months from the priority date, and/or iii) the receipt by the designated Office of a copy of the international application as	Applicant's Guide

published under the PCT.

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# <u>R</u>

TERM	DEFINITION	SELECTED REFERENCES
Receiving Office	The national Office, intergovernmental or international organization with which the international application is filed and which checks and processes it according to the PCT and its Regulations.	Articles 2(xv), 10
Receiving Office Guidelines [HTML]	Guidelines and reference materials that assist receiving Offices in processing international applications under the PCT; established by the International Bureau after consultation with the Contracting States.	See also Guidelines for Authorities and Offices
Receiving Office of the International Bureau (RO/IB)	The International Bureau of the World Intellectual Property Organization acting as receiving Office with which international applications can be filed by nationals and residents of all PCT Contracting States in any language. Filing with RO/IB is usually an alternative to filing with national or regional receiving Offices.	Rules 19.1(a)(iii), 19.4
Record copy	The copy of the international application that is transmitted by the receiving Office to the International Bureau, for the purposes of processing and publication and is kept in the records of the International Bureau. This is considered to be the true copy of the international application.	Article 12 Rules 22, 24, 93.2(a) and (b) Section 305
Reduction of fees	The international filing fee is reduced, as set out in the Schedule of Fees annexed to the Regulations, depending on the filing format of the international application, and for the benefit of applicants who are nationals of and reside in certain countries. In addition, the handling fee is also reduced for the benefit of applicants from certain Contracting States.  Reductions/refunds of the international search fee may be granted if the International Searching Authority may benefit from an earlier search. Certain national Offices grant fee reductions for international applications upon entry into the national phase.	Rules 16.3, 96.1 See also Fees, Schedule of Fees, National Chapter, PCT Applicant's Guide
Regional Application	An application for a regional patent.	Article 2(v)
Regional Office	An intergovernmental authority which several States have entrusted with the task of granting regional patents.	Article 2(xii)

Regional Patent  Regional Patent	A patent granted by a national or an intergovernmental authority having the power to grant patents effective in more than one State.  Any treaty providing for the grant of regional patents.	Article 2(iv)  Article 45
Regional Phase	The equivalent to the national phase, where States have appointed a regional Office. As with the national phase, the regional phase follows the international phase of the PCT procedure.	Articles 22, 23, 39(1)(a), 40
Reinstatement of Rights	Where the effect of the international application has ceased because the applicant failed to perform the acts to enter the national phase within the applicable time limit, a designated or elected Office may reinstate the rights of the applicant with respect to that international application. In addition, reinstatement of rights may apply to excuse delays in meeting any time limit.	Article 48(2) Rules 49.6, 82bis.2
Request [PDF]	The part of the international application that contains a petition for the international application to be processed according to the PCT and which also contains certain indications relating to designated States in which protection is sought, the invention as well as the applicant(s), inventor(s) and any agent.	Articles 3, 4 Rules 3, 4
Review by designated Offices	Review of any decision of the receiving Office to refuse to accord an international filing date or to declare the international application withdrawn, or the finding of the International Bureau that the record copy has not been received within the prescribed time limit.	Article 25 Rules 29.1, 51

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#### <u>S</u>

TERM	DEFINITION	SELECTED REFERENCES
Schedule of fees [HTML]	This schedule specifies the amounts of the international filing fee and handling fee, as well as the conditions for fee reductions and is annexed to the Regulations under the PCT.	Rule 96
Search copy	A copy of the international application that is transmitted by the receiving Office to the competent International Searching Authority for performing the international search and is kept in the records of that Authority.	Article 12 Rules 23, 25 Section 305
Search fee	Fee for the benefit of the International Searching Authority for carrying out the international search and other tasks. The fee	Rule 16 See also Fees

	is paid to the receiving Office.	I I I
Sequence listing	Where the international application contains disclosure of one	Rules 5.2, 13ter
	or more nucleotide and/or amino acid sequences, the	Sections 208,
	description should contain a sequence listing as a separate	<u>801-806</u>
	part of the description complying with the standard provided	! ! !
	for in the Administrative Instructions under the PCT.	 

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### I

TERM	DEFINITION	SELECTED REFERENCES
Telescope or	The International Preliminary Examining Authority may start	Rules 57.3(c),
telescopic	the international preliminary examination at the same time as	58.1(b), 69.1(b)
procedure	the international search if the national Office or	and (b-bis)
! !	intergovernmental organization that acts as International	1 
1 1 1 1	Searching Authority also acts as International Preliminary Examining Authority.	
Title of invention	A short, precise description of the invention, preferably from	Rules 4.1(a)(ii),
1	two to seven words when in English or translated into English.	4.3, <u>5.1(a)</u>
1 1 1 1 1	It should be included in the request and at the beginning of the description.	
Transmittal fee	Fee for the benefit of the receiving Office and paid to that	Rules 14, 19.4
1	Office within one month of filing the international application.	See also <u>Fees</u>
Types of	The applicant may indicate that the international application is	Articles 2(i), 43, 44
protection	for the grant, as far as any designated or elected State is	Rule 49bis
[PDF]	concerned, of an inventor's certificate, a utility certificate, a	
	utility model, a patent or certificate of addition, an inventor's	
	certificate of addition, or a utility certificate of addition,	
	depending on the respective national law instead of a patent.	=
	This choice has to be indicated by the applicant to the	
	designated Office at the time the applicant performs the acts	-
	to enter the national phase.	: -

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# <u>U</u>

TERM	DEFINITION	SELECTED
	 	REFERENCES
Unity of invention	The international application must relate to only one invention	Article 3(4)(iii)
	or to a group of inventions which are so linked as to form a	Rule 13

single general inventive concept. If the inventions contained in the application are so linked, the application is said to have unity of invention.

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#### W

TERM	DEFINITION	SELECTED
	1	REFERENCES
Waiver of power	Any receiving Office, International Searching Authority,	Rules 90.4(d) and
of attorney	International Preliminary Examining Authority, and the	(e), <u>90.5(c)</u> and <u>(d)</u>
[HTML]	International Bureau may waive the requirement that a	-
	separate power of attorney be submitted to it. In the case of a	-
-	general power of attorney, any receiving Office, International	-
	Searching Authority and International Preliminary Examining	-
= = =	Authority may waive the requirement that a copy of the	- -
:	general power of attorney be submitted with the request, the	
- - -	demand or as a separate notice.	-
Withdrawal	Any international application, designation, priority claim,	Rule 90 <i>bis</i>
	demand, or election may be withdrawn by a notice to the	! !
	International Bureau, the receiving Office, or, where	1
	applicable, to the International Preliminary Examining	
	Authority.	1
Written Opinion	A document, issued by the International Preliminary	Article 34(2)(c),(d)
of the	Examining Authority to the applicant, which indicates any	Rules 66.1 <i>bis</i> ,
International	observations as provided for in Rule 66.2. The written opinion	66.2, 66.4(a)
Preliminary	of the International Searching Authority is, in general,	1
Examining	considered as the written opinion of the International	
Authority	Preliminary Examining Authority.	1
Written Opinion	A document established by the International Searching	Rule 43 <i>bis</i> .1(a)
of the	Authority, at the same time as the international search report,	1
International	containing an opinion as to whether the claimed invention	1 1
Searching	appears to be novel, to involve an inventive step (to be	
Authority	non-obvious), and to be industrially applicable, as well as	
	whether the international application complies with the	
1	requirements of the PCT and the Regulations under the PCT,	1
	in so far as checked by the International Searching Authority.	